

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

2354/140

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/009139

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/AU00/00107

16 February 2000 (16.02.00)

TITLE OF INVENTION

ANTIMICROBIAL POLYMERIC COMPOSITIONS

APPLICANT(S) FOR DO/EO/US MELROSE, Graham John Hamilton; DALY, Gerry and HUXHAM, Andrew James

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Applicants claim Small Entity status.

A copy of the Preliminary Examination Report with amended pages.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

10/009139

U00/00107

2354/140

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. \$1040.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 1,040.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	19 - 20 =	0	x \$18.00	\$ 0.00
Independent claims	1 - 3 =	0	x \$84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ 0.00

TOTAL OF ABOVE CALCULATIONS = \$ 1,000.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2. +

\$ 500.00

SUBTOTAL = \$ 500.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

TOTAL NATIONAL FEE = \$ 500.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED = \$ 540.00Amount to be
refunded: \$

charged: \$

- a. ☒ A check in the amount of \$ 540.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Joseph M. Noto
Nixon Peabody LLP
Clinton Square
P. O. Box 31051
Rochester, NY 14603-1051
United States of America

SIGNATURE

Joseph M. Noto

NAME

32,163

REGISTRATION NUMBER

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 2354/140

APPLICANT(S): Melrose et al.

TITLE: ANTIMICROBIAL POLYMERIC COMPOSITIONS


Certificate is attached to the Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) of the above-named application.

"EXPRESS MAIL" NUMBER: EL710757045US

DATE OF DEPOSIT: November 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231.

Suzanne Ciaio
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper or
fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 2354/140

APPLICANT(S): Melrose et al.

TITLE: ANTIMICROBIAL POLYMERIC COMPOSITIONS

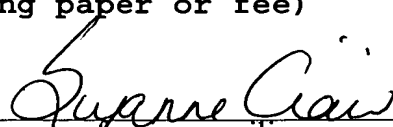
Certificate is attached to the **SIGNED** Combined Declaration and Power of Attorney (2 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EL710757045US

DATE OF DEPOSIT: November 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231.

Suzanne Ciaio
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 2354/140

APPLICANT(S): Melrose et al.

TITLE: ANTIMICROBIAL POLYMERIC COMPOSITIONS


Certificate is attached to the Assignment and Assignment Cover Sheet (4 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EL710757045US

DATE OF DEPOSIT: November 8, 2001

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Suzanne Ciaio
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper or
fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 2354/140

APPLICANT(S): Melrose et al.

TITLE: ANTIMICROBIAL POLYMERIC COMPOSITIONS

Certificate is attached to the Preliminary Amendment
(4 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EL710757045US

DATE OF DEPOSIT: November 8, 2001

I hereby certify that this paper or fee is being
deposited with the United States Postal Service "Express Mail Post
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Suzanne Ciaio

(Typed or printed name of person
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EXPRESS MAIL CERTIFICATE

DOCKET NO.: 2354/140

APPLICANT(S): Melrose et al.

TITLE: ANTIMICROBIAL POLYMERIC COMPOSITIONS


Certificate is attached to the Information Disclosure Statement (1 page) and PTO-1449 Form (1 page) in duplicate of the above-named application.

"EXPRESS MAIL" NUMBER: EL710757045US

DATE OF DEPOSIT: November 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231.

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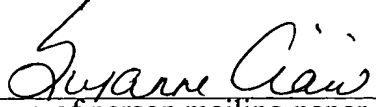
Certificate is attached to the copy of the Preliminary Examination Report of the above-named application.

"EXPRESS MAIL" NUMBER: EL710757045US

DATE OF DEPOSIT: November 8, 2001

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